

Exam. Code : 108505

Subject Code : 2101

B.Com. 5<sup>th</sup> Semester

**BCG-512 : FINANCIAL MARKET OPERATIONS**

**Group—I**

Time Allowed—3 Hours] [Maximum Marks—50

Note :— There are *eight* questions. Candidates are required to attempt any *five* questions. All questions carry equal marks.

**SECTION—A**

1. What is Secondary Market ? What functions does secondary market perform ? What are its various constituents ?
2. Explain the following :
  - (i) Acceptance houses
  - (ii) Discount houses
  - (iii) Call money market.

**SECTION—B**

3. What is meant by stock exchange ? What are the functions of stock exchange ?
4. Explain the following :
  - (i) Brokers
  - (ii) Market makers
  - (iii) Jobbers
  - (iv) Institutional investors.

### **SECTION—C**

5. What is SEBI ? What are the functions and powers of SEBI ? Also give its guidelines for the public issue of shares.
6. What is the investor protection ? Write a detailed note on the redressal of grievances of investors.

### **SECTION—D**

7. What do you mean by “depository and dematerialization” ? Also explain the procedure of dematerialization of securities.
8. What do you understand by Depository Act, 1996 ? What are the features of depository system ?